

BITOU MUNICIPALITY

Performance Agreement for the financial year 01 July 2020 – 30 June 2021

MUNICIPAL MANAGER

In A

Performance agreement made and entered into by and between

The Bitou Municipality and represented by the Executive Mayor (herein and after referred

and

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Adv. Lonwabo Mininawa Ronald Ngoqo , the Municipal Manager (herein and after referred as Employee) for the period 01 July 2020 to 30 June 2021

Where as

- a. The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred as "the Parties";
- b. Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual
- c. The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will promote local government goals; and
- d. The Parties wish to ensure that there is compliance with Sections 57(4B)

1. INTERPRETATION

- 1.1 In this Agreement the followings terms will have the meaning ascribed
 - "this Agreement" means the performance agreement between the Employer and the employee and the Annexures thereto;
 - "the Executive Authority" means the Mayoral Committee of the Municipality constituted in terms of Section 60 of the Local Government: Municipal Structures Act 117 of 1998 ("the Structures Act") as represented by its chairperson, the Executive Mayor;
 - "the Employee" means the Director appointed in terms of Section
 - "the Employer" means the Municipality; and

"the Parties" means the Employer and Employee.

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2. PURPOSE OF THIS AGREEMENT

- 2.1 To comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act as well as the Contract of Employment entered into between the Parties;
- 2.2 To specify objectives and targets established for the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance targets and accountabilities;
- 2.3 To specify accountabilities as set out in the Performance Plan (Annexure A);
- 2.4 To monitor and measure performance against set targeted outputs and outcomes;
- 2.5 To establish a transparent and accountable working relationship;
- 2.6 To appropriately reward the employee in accordance with section 11 of this agreement; and
- 2.7 To give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining improved service delivery.

3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 01 July 2020 and will remain in force until 30 June 2021 where-after a new Performance Agreement shall be concluded between the parties for the next financial year or any portion thereof;
- 3.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31st of July of the succeeding financial year;
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason;
- 3.4 If at any time during the validity of the agreement the work environment alters to the extent that the contents of the agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised; and
- 3.5 Any significant amendments or deviations must take cognizance of the requirements of sections 34 and 42 of the Municipal Systems Act and Regulation 4(5) of the Regulations.

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4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan (Annexure A) sets out
 - 4.1.1 The performance objectives and targets that must be met by the Employee;
 - 4.1.2 The timeframes within which those performance objectives and targets must be met; and
 - 4.1.3 The competencies (Annexure B definitions in terms of Regulation 21 of 17 January 2014) required to operate effectively as senior managers in the local government environment.
- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:
 - 4.2.1 Key objectives that describe the main tasks that need to be done;
 - 4.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved by the employee;
 - 4.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
 - 4.2.4 Weightings showing the relative importance of the key objectives to each other.
- 4.3 The Personal Development Plan (Annexure C) sets out the Employee's personal development requirements in line with the objectives and targets of the Employer; and
- 4.4 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

5.1 The Employee agrees to participate in the performance management system that the Employer adopted for the employees of the Employer;

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- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the employees and service providers to perform to the standards required;
- 5.3 The Employer must consult the Employee about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 5.4 The Employee undertakes to actively focus on the promotion and implementation of the key performance indicators (including special projects relevant to the employee's responsibilities) within the local government framework;
- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, Operational Performance and Competencies both of which shall be contained in the Performance Agreement;
- 5.6 The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee;
- 5.7 The Competencies will make up the other 20% of the Employee's assessment score. The Competencies are spilt into two groups, leading competencies (indicated in blue on the graph below) that drive strategic intent and direction and core competencies (indicated in green on the graph below), which drive the execution of the leading competencies.

6. PERFORMANCE ASSESSMENT

- 6.1 The Performance Plan (Annexure A) to this Agreement sets out key performance indicators and competencies that needs to be evaluated in terms of —
 - 6.1.1 The standards and procedures for evaluating the Employee's performance; and
 - 6.1.2 During the intervals for the evaluation of the Employee's performance.
- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan

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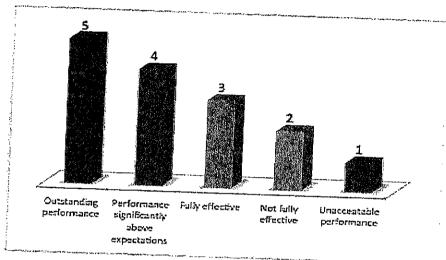
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- as well as the actions agreed to and implementation must take place within set time frames;
- 6.4 The Employee's performance will also be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP) as described in 6.6 6.13 below;
- 6.5 The Employee will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 6.6 Assessment of the achievement of results as outlined in the performance plan:
 - 6.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met (qualitative and quantitative) and with due regard to adhoc tasks that had to be performed under the KPI;
 - 6.6.2 A rating on the five-point scale described in 6.9 below shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
 - 6.6.3 The Employee will submit his self-evaluation to the Employer prior to the formal assessment;
 - 6.6.4 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
 - 6.6.5 An overall score will be calculated based on the total of the individual scores calculated above.
- 6.7 Assessment of the Competencies:
 - 6.7.1 Each Competency will be assessed in terms of the descriptions provided (Annexure B) during the mid-year and year-end reviews;
 - 6.7.2 A rating on the five-point scale described in 6.10 below shall be provided for each Competency which will then be multiplied by the weighting to calculate the final score; and
 - 6.7.3 An overall score will be calculated based on the total of the individual scores calculated above.
- 6.8 Overall rating

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- 6.8.1 An overall rating is calculated by adding the overall scores as calculated in 6.6.5 and 6.7.3 above; and
- 6.8.2 Such overall rating represents the outcome of the performance appraisal.
- 6.9 The assessment of the performance of the Employee will be based on the following rating scale for KPIs:



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Terminology	Description
Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and

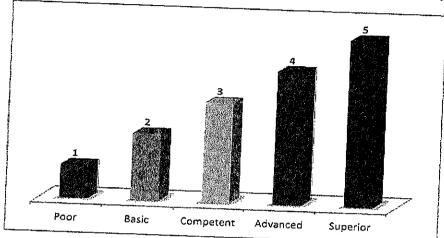
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Terminology	Description
	Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

6.10 The assessment of the competencies will be based on the following rating scale:



Achievemen Level	t Description
Poor	Do not apply the basic concepts and methods to proof a basic understanding of local government operations and requires extensive supervision and development interventions.
Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention.
Competent	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analysis.
Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in-depth analysis.
Superior	Has a comprehensive understanding of local government operations, critical in strategic shaping strategic direction and change, develops and applies comprehensive concepts and methods.

- 6.11 For purposes of evaluating the annual performance of the Employee, an evaluation panel constituted of the following persons will be established
 - 6.11.1 Municipal Manager;
 - 6.11.2 Municipal Manager from another municipality;
 - 6.11.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee and

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- 6.11.4 The Member of the Mayoral Committee (Portfolio Chairperson).
- 6.12 The Municipal Manager will evaluate the performance of the Employee as at the end of the 1st and 3rd quarters and document a summary of the discussions; and
- 6.13 The Municipal Manager will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of the Employee in relation to his performance agreement shall be reviewed for the following quarters with the understanding that the reviews in the first and the third quarter may be verbal if performance is satisfactory:

Quarter	Wonths	Evaluation
1	July - September	
2	October - December	
3	January - March	
4	April - June	

- 7.2 The Employer shall keep a record of the year-end assessment meetings;
- 7.3 Performance feedback shall be based on the Employer's assessment of the Employee's performance;
- 7.4 The Employer will be entitled to review and make reasonable changes to the provisions of Annexure A from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and
- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as Annexure C. Such Plan may be implemented and/or amended as the case may be after the each assessment. In that case, the Employee will be fully consulted before any such change or plan is made.

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OBLIGATIONS OF THE EMPLOYER

- 9.1 The Employer shall-
 - 9.1.1 Create an enabling environment to facilitate effective performance by the employee;
 - 9.1.2 Provide access to skills development and capacity building opportunities;
 - 9.1.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
 - 9.1.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
 - 9.1.5 Make available to the Employee such resources as the Employee may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

10. CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of its powers will have amongst others-
 - 10.1.1 A direct effect on the performance of any of the Employee's functions;
 - 10.1.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
 - 10.1.3 A substantial financial effect on the Employer.
- 10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 12.1 as soon as is practicable to enable the Employee to take any necessary action with delay.

11. REWARD

11.1 The evaluation of the Employee's performance will form the basis for acknowledging outstanding performance or correcting unacceptable performance;

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- 11.2 The payment of the performance bonus is determined by the performance score obtained during the 4th quarter;
- 11.3 The performance bonus will be awarded pro-rata according to the period of this agreement based on the following scheme:

Performance Rating 0% - 64% 65% - 69% 70% - 74% 75% - 79% 80% - 100%	Poor Performance Average Performance Fair Performance Good Performance Excellent Performance	Bonus Calculation 0% of total package 5% of total package 9% of total package 11% of total package 14% of total package
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- 11.4 In the event of the Employee terminating his services during the validity period of this Agreement, but only after three months after the start of this agreement's inception date, the Employee's performance will be evaluated for the period during which he/she was employed and he/she will be entitled to a pro-rata performance bonus based on his/her evaluated performance for the period of actual service; and
- 11.5 The Employer will submit the total score of the annual assessment and of the Employee, to full Council for purposes of recommending the bonus allocation.

12. MANAGEMENT OF EVALUATION OUTCOMES

- 12.1 Where the Employer is, any time during the Employee's employment, not satisfied with the Employee's performance with respect to any matter dealt with in this Agreement, the Employer will give notice to the Employee to attend a meeting;
- 12.2 The Employee will have the opportunity at the meeting to satisfy the Employer of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;
- 12.3 Where there is a dispute or difference as to the performance of the Employee under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 12.4 in the case of unacceptable performance, the Employer shall -
 - 12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and

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12.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

13. DISPUTE RESOLUTION

- 13.1 Disputes will be dealt with in terms of Section 33 of the Local Government: Municipal Performance Regulations for Municipal Managers and managers directly accountable to Municipal Managers (Regulation 805 of August 2006).
- Any disputes about the nature of the employee's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/or salary increment in the agreement, must be mediated by the executive mayor or mayor within thirty (30) days of receipt of a formal dispute from the employee whose decision shall be final and binding on both parties.
- Any disputes about the outcome of the employee's performance evaluation, must be mediated by a member of the municipal council, provided that such member was not part of the evaluation panel, within thirty (30) days of receipt of a formal dispute from the employee whose decision shall be final and binding on both parties.

14. GENERAL

- 14.1 The contents of this agreement and the outcome of any review conducted in terms of Annexure A may be made available to the public by the Employer; and
- 14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

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AS WITNESSES:
1. MUNICIPAL MANAGER
2.
Thus done and signed at Albertage boy on the 30 day of June of 2020.
AS WITNESSES:
1. Aunt. EXECUTIVE MAYOR 2.

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Annexure A 2020/21

Performance Plan

Director: Municipal Manager

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The Performance Plan sets out:

- a) Key Performance Areas that the employee should focus on, performance objectives, key performance indicators and targets that must be met
- b) The Competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014.

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KEY PERFORMANCE INDICATORS

The key performance areas, the performance objectives, key performance indicators and targets that must be met within the agreed timeframe are described below. The assessment of these performance indicators will account for eighty percent of the total employee assessment score.

Ref	National Key Performance	Key Performance Indicator	A Company of the Comp		tal employee assessment score.					
No	renormance : Area	(KPI)	Unit of Measurement	Baseline	Annual Target	Q1	Q2	rgets Q3	Q4	Weight
			DIVISION PERFORM	ANCE						
SDBIP Graph		Oversee and monitor that 90% of the KPI's of the Directorate: Financial Services are achieved	90% of the KPI's of the directorate have been met as per ignite Dashboard report	90%	90%	90%	90%	90%	90%	
SDBIP Graph	Municipal Transformation and Institutional Development	Oversee and monitor that 90% of the KPI's of the Directorate: Corporate Services are achieved	9000 0000 1000	90%	90%	90%	90%	90%	90%	
SDBIP Graph	Municipal Transformation and institutional Development	Oversee and monitor that 90% of the KPI's of the Directorate: Engineering Services are achieved	90% of the KPI's of the directorate have been met as per Ignite Dashboard	90%	90%	90%	90%	90%	90%	
SDBIP Graph	Municipal Transformation and institutional Development	Oversee and monitor that 90% of the KPI's of the Directorate: Community Services are achieved	90% of the KPI's of the directorate have been met as per Ignite Dashboard	90%	90%	90%	90%	90%	90%	
SDBIP Graph	Municipal Transformation and Institutional Development	Oversee and monitor that 90% of the KPI's of the Directorate: Economic Development and Planning are achieved	90% of the KPI's of the directorate have been met as per Ignite Dashboard	90%	90%	90%	90%	90%	90%	
SOBIP Graph	Municipal Transformation and institutional Development	Manage and achieve 90% of the KPI's of the sub-directorate: Internal Audit	90% of the KPI's of the sub directorate have been met as per ignite Dashboard report	90%	90%	90%	90%	90%	90%	
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ormation stilutional lopment ticipal ormation stilutional	unicipal sformation institutional relopment Manage and achieve 90% of the KPI's of the sub-directorate: Strategic Manager	have been met as per Ignite		N CONTRACTOR					CONTRACTOR OF
rmation titutional		Dashboard report	90%	90%	90%	90%	90%	90%	Weight
<u> </u>	sformation Manage and achieve 90% of the nstitutional elopment PMS, SDBIP and Comptiance	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90%	90%	90%	90%	90%	90%	
rmation titutional opment	unicipal stormation astitutional elopment Manage and achieve 90% of the KPI's of the sub-directorate: Risk Management	90% of the KPI's of	90%	90%	90%	90%	90%	90%	
rmation itutional	Manage and achieve 90% of the stitutional elopment Management	90% of the KPI's of the sub directorate have been met as per Ignite Dashboard report	90%	90%	90%	90%	90%	90%	
	STRAT	EGIC (TOP LAYER) PE	REGRMANCE						
mation lutional	nicipal Submit the Risk Based Audit ormation Plan (RBAP) for the 2021/22 financial year to the Audit Committee by 30 June 2021	Risk Based Audit Plan complled and submitted to the Audit Committee	1	1	0	0	0	1	
mation utional	complete 80% of audits as scheduled in the RBAP applicable for 2020/21 by 30 June 2021 (Actual audits compent completed divided by the audits scheduled for the vent value.	% of audits	75%	80%	10%	40%	60%	90%	
	icipal Hold quarterly meetings of the titutional Audit Committee	Number of meetings held	4	4	1	1	1	1	
	opmi icipa irma titutic	completed divided by the audits scheduled for the year)x100 Hold quarterly meetings of the Audit Committee	completed divided by the audits scheduled for the year)x100 I Hold quarterly meetings of the Audit Committee Audit Committee	completed divided by the audits scheduled for the year)x100 I Hold quarterly meetings of the Audit Committee Audit Committee	completed divided by the audits scheduled for the year)x100 Hold quarterly meetings of the Audit Committee Audit Committee	completed divided by the audits scheduled for the year)x100 I Hold quarterly meetings of the Audit Committee Audit Committee	completed divided by the audits scheduled for the year)x100 Hold quarterly meetings of the Audit Committee Audit Committee	ent completed divided by the audits scheduled for the year)x100 Hold quarterly meetings of the Audit Committee Audit Committee	completed divided by the audits scheduled for the year)x100 Hold quarterly meetings of the Audit Committee Audit Committee

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Ref No	National Key Performance Area	Key Porformance Indicator (KPI)	Unit of Measurement	Baseline	Annual Target	G1	Ta O2	irgets		Weight
TL4	Municipal Transformation and institutional Development	Complete the annual risk assessment for 2020/21 and submit to the Audit Committee by 31 March 2021	Risk assessment submitted to the Audit Committee	1	1	0	0	1	0	
TL5	Good Governance and Public Participation	Review the Performance Management Framework and submit to Council for approval by 31 March 2021	Performance Management Framework reviewed and submitted to Council	1	1	0	0	1	0	
TL6	Good Governance and Public Participation	Achieve risk maturity (control level) by 30 June 2021	Risk maturity (control level) achleved	0	1	0	0	0	1	
TL7	Good Governance and Public Participation	Submit the reviewed final IDP for 2021/22 to Council by 31 May 2021	Reviewed IDP submitted to Council	1	1	o	0	0	1	
! TL8 	Good Governance and Public Participation	Submit the Community Survey to Council by 30 June 2021	Community Survey submitted to Council	0	1	0	0	0	1	
TL9	Municipat Financial Viablity and Management	Spend 90% of the municipal capital budget on capital projects by 30 June 2021 ((Actual amount spent on projects /Total amount budgeted for capital projects)	% budget spent	90%	90%	10%	40%	60%	90%	
		M	ANAGERIAL PERFOR	MANCE.						
D1	Good Governance and Public Participation	Conduct quarterly performance evaluations of the S57 managers performance	Number of evaluations conducted	2	4	1	1	1	1	

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No	National Key Performance Area	Key Performance Indicator (KPI)	Unit of Measurement	Baselino	Armual Target	01	Ta C2	gets Q3	C/A	Weig
D2	Good Governance and Public Participation	Hold monthly meetings with the Management team (excluding December and January)	Number of meetings held	0	10	3	2	2	3	
D3	Good Governance and Public Participation	Update all Collaborator correspondence within 10 days after receipt	% updates of collaborator	100%	100%	100%	100%	100%	100%	
D4	Good Governance and Public Participation	Submit a quarterly report on the corrective measures implemented to reduce the top 10 risk areas within the department to the official responsible for risk management	Number of risk management reports submitted	2	4	1	1	1	1	
D5	Good Governance and Public Participation	Conduct a risk assessment for the Directorate by 31 March 2021	Number of risk assessment conducted	0	1	0	٥	1	0	
D6	Good Governance and Public Participation	Respond to requests form Internal Audit within 2 working days	% of requests responded to	90%	90%	90%	90%	90%	90%	
D7	Good Governance and Public Participation	Conduct quarterly performance evaluations of the \$57 managers performance	Number of evaluations conducted	2	4	1	1	1	1	

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COMPETENCIES

The competencies required from employees prescribed in the Regulations on the appointment and conditions of employment of senior managers, R21 of 2014. The assessment of these competencies will account for twenty percent of the total employee assessment score.

Annexure B describes the different achievement levels for each Competency and should therefore form part of this section of the Performance Plan.

Competency	Definition LEADING COPETENCIES	Weigh
Strategic direction and leadership	Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate. It includes: Impact and influence Institutional performance management Strategic planning and management Organisational awareness	1.67
People management	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives. It includes: Human capital planning and development Diversity management Employee relations management Negotlation and dispute management	1.67
Programme and project management	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives, it includes; Program and project planning and implementation Service delivery management Program and project monitoring and evaluation	1.67
Financial management	Able to compile, plan and manage budgels, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner. It includes: Budget planning and execution Financial strategy and delivery Financial reporting and delivery	1,67

Competency	Definition	√ Weio
Change leadership	Able to direct and initiate transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community, it includes: • Change vision and strategy	
	Process design and improvement	1.67
	Change impact monitoring and evaluation	İ
Governance leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of elevant policies and enhance cooperative governance relationships. It includes: Policy formulation	1.67
	Risk and compliance management Cooperative governance	Ì
	CORE COMPETENCIES:	With a some to con-
Moral competence	Able to Identify moral triggers, apply reasoning that promotes honesty and integrity and display behaviour that reflects moral competence.	1.67
Planning and organising	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk.	1.67
Analysis and innovation	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives.	1,67
Knowledge and information nanagement	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government	1.67
Communication	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired sudament.	1.67
esults and quality focus	Able to maintain high quality standards, focus on achieving results and objectives while consistency striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure	1.67

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Annexure 8

2020/21

Competency Framework

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CLUSYER:	LEADING COMPETENCIES		,
COMPETENCY NAME:	Stratogic Direction and Leadership		
COMPETENCY DEFINITION:	Provide and direct a vision for the institution, an	d inspire and deploy others to deliver on the strates	To it who are
BASIC	ACHIEVEN COMPETENT	TENT LEVELS ADVANCED	
 Understand Institutional and departmental strategic objectives, but lacks the ability to inspire others to achieve set mandate 	Give direction to a team in realising the institution's strategic mandate and set objectives	Evaluate all activities to determine value and alignment to strategic intent	SUPERIOR Structure and position the institution to local government priorities
 Describe how specific tasks link to institutional strategies but has limited influence in directing a strategy 	 Has a positive impact and influence on the morale, engagement and participation of team members 	Display in-depth knowledge and understanding of strategic planning	Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework.
 Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole 	 Develop action plans to execute and guide strategy 	Align strategy and goals across all functional areas	Hold self-accountable for strategy execution and results
Demonstrate basic understanding of key decision makers	 Assist in defining performance measures to monitor the progress and effectiveness of the institution 	 Actively define performance measures to monitor the progress and effectiveness of the Institution 	 Provide impact and Influence through building and maintaining strategic relationships
	 Displays an awareness of institutional structures and political factors 	Consistently challenge strategic plans to ensure relevance	 Create an environment that facilitates loyalty and innovation. Display a superior level of self-discipline and integrity in actions
	Effectively communicate barriers to execution to relevant parties Provide quidages to all status had a	 Understand institutional structures and political factors, and the consequences of actions 	Integrale various systems into a collective whole to optimise institutional performance management
	the achievement of the strategic mandate	Empower others to follow the strategic direction and deal with complex situations	Uses understanding of competing interests to maneuver successfully to a wintwin outcome
	Understand the aim and objectives of the institution and relate it to own work	 Guide the Institution through complex and ambiguous concerπ 	,
		 Use understanding of power relationships and dynamic tensions among key players to frame communications and develop strategies, positions and alliances 	

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CLÚSTER:	LEADING COMPETENCIES			
OMPETENCY NAME : -	People Management			
DMPETENCY DEFINITION:	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives			
BASIC	ACHIEVEME COMPETENT	INT LEVELS ADVANCED	SUPERIOR	
 Participate in team goalsetting and problem solving 	Seek opportunities to increase team contribution and responsibility	Identify ineffective team and work processes and recommend remedial interventions	Develop and Incorporate best pract people management processes, approaches and tools across the institution	
Interact and collaborate with people of diverse backgrounds Aware of guidelines for employee davelopment, but requires support in implementing development initiatives	Respect and support the diverse nature of others and be aware of the benefits of a diverse approach Effectively delegate tasks and empower others to increase contribution and execute functions optimally Apply relevant employee legislation fairly and consistently	Recognise and reward effective and desired behavior Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team	Foster a culture of discipline, responsibility and accountability Understand the impact of diversity performance and actively incorpora diversity strategy in the institution Develop comprehensive integrated strategies and approaches to huma.	
	 Effectively identify capacity requirements to fulfill the strategic mandate 	Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in	 capital devolopment and managem Actively identify trends and predict capacity requirements to facilitate united transition and performance management 	
		adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives		

CLUSTER:	\$ 19 7 6 7 7 7 7 8 9 9 7 8 9 7 8 9 7 8 9 9 7 8 9 9 7 8 9 9 9 9	LEADING COMPETENCIES			
COMPETENCY N	AME:	Program and Project Management Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives			
COMPETENCY D	EFINITION:				
	BASIC	ACHIEVEMENT LEVELS			
GRADER PER SER	rangan persahan kenangan kendilangan	COMPETENT	ADVANCED	SUPERIOR	
• Initiate higher a	projects after approval from authorities	 Establish broad stakeholder involvem and communicate the project status a key milostones 	nd priorities and conflicts according to institutional goals	Understand and conceptualise the long- term implications of desired project outcomes	
projecti	iand procedures of program and management methodology, ions and stekeholder ment	 Define the roles and responsibilities of the project team and create clarity around expectations 	strategies through impact assessment and resource requirements	Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives	
	and the rational of projects in to the institution's strategic es	 Find a balance between project deadlend and the quality of deliverables 	 Modify project scope and budget when required without compromising the quality and objectives of the project 	Influence people in positions of authority to implement outcomes of projects	
	ent and communicate factors and occiated with own work	 Identify appropriate project resources facilitate the effective completion of the deliverables 	stakeholders in seeking project buy-in	 Lead and direct translation of policy into workable action plans 	
	ults and approaches of ful project implementation as	 Comply with statutory requirements at apply policies in a consistent manner 	 Identily and apply contemporary project management methodology 	 Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed 	
		 Monitor progress and use of resource; and make needed adjustments to timelines, steps and resource allocation 	deliver exceptional results		
			 Monitor policy implementation and apply procedures to manage risks 		

CLUSTER;	LEADING COMPETENCIES			
ompetency name:	Financial Management Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an othical manner			
OMPETENCY DEFINITION:				
	ACH(EVEN	IENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
 Understand basic financial concepts and methods as they relate to institutional processes and activities 	 Exhibit knowledge of general financial concepts, planning, budgeting and forecasting and how they interrelate 	Take active ownership of planning, budgeting and forecasting processes and providos credible answers to queries within own responsibility	 Develop planning tools to assist in evaluating and monitoring future expenditure trends 	
 Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems 	Assess, identify and manage financial risks	Prepare budgets that are aligned to the strategic objectives of the institution	 Set budget frameworks for the institution 	
Understand the importance of financial accountability	 Assume a cost-saving approach to linancial management 	 Address complex budgeting and financial management concerns 	 Set strategic direction for the institution on expenditure and other financial processes 	
 Understand the importance of asset control 	 Prepare financial reports based on specified formats 	 Put systems and processes in place to enhance the quality and integrity of financial management practices 	 Build and nurture partnerships to improve financial management and achieve financial savings 	
	 Consider and understand the financial implications of decisions and suggestions 	 Advise on policies and procedures regarding asset control 	 Actively identify and implement new methods to improve asset control 	
	 Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated 	 Promote National Treasury's regulatory framework for Financial Management 	 Display professionalism in dealing with financial data and processes 	
	 Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	To the state of th		

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CLUSTER:		LEADING COMPETENCIES	3	
COMPETENCY NAME:		Change Leadership		
Competency definition :	NCY DEFINITION: Able to direct and initiate and deliver professional		nstitutional transfermation on all levels in order to s nd quality services to the community	uccessfully drive and imploment new initiatives
			ENT LEVELS	
BASIC		COMPETENT	ADVANCED	SUPERIOR
 Display an awareness of interventions and the ber transformation initiatives 		Perform an analysis of the change impact on the social, political and economic environment	 Actively monitor change impact and results and convey progress to relevant stakeholders 	 Sponsor change agents and create a network of change leaders who support the interventions
Able to identify basic nee	ds for change *	Maintain calm and focus during change	 Secure buy-in and sponsorship for change initiatives 	 Actively adapt current structures and processes to incorporate the change interventions
 Identify gaps between the desired state 	e current and	Able to assist team members during change and keep them focused on the deliverables	 Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness 	 Mentor and guide team members on the effects of change, resistance factors and how to integrate change
 Identify potential risk and transformation, including change factors 		Volunteer to lead change efforts outside of own work team	 Build and nurture relationships with various stakeholders to establish strategic altiance in facilitating change 	 Motivate and inspire others around change initiatives
 Participate in change pro- piloting change intervention 		Able to gain buy-in and approval for change from relevant stakeholders	 Take the lead in impactful change programs 	
 Understand the impact of interventions on the institutions of local go 	ution within the	Identify change readiness levels and assist in resolving resistance to change factors	 Benchmark change interventions against best change practices 	
	•	Design change interventions that are aligned with the institution's strategic objectives and goals	 Understand the impact and psychology of change and put remedial interventions in place to facilitate effective transformation 	
			Take calculated risk and seek new ideas from best practice scenarios and identify the potential for implementation	

CLUSTER:	LEADING COMPETENCIES		
COMPETENCY NAME:	Governance Leadership		
COMPETENCY DEFINITION :	Able to promote, direct and apply professionalism practices and obligations. Further, able to direct	n in managing risk and compliance requirements an the conceptualisation of relevant policies and enhan	d apply a thorough understanding of governance
BASIC	ACHIEVEMI COMPETENT	ENT LEVELS ADVANCED	
 Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements 	 Display a thorough understanding of governance and risk and compliance factors and implement plans to address these 	Able to link risk initiatives into key institutional objectives and drivers	SUPERIOR Demonstrate a high level of commitmer in complying with governance requirements
 Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders 	 Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution 	 Identify, analyse and measure risk, create valid risk forecasts and map risk profiles 	 Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework
Provide input into policy formulation	 Actively drive policy formulation within the institution to ensure the achievement of objectives 	 Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives 	 Able to advise local government on rist management, best practice intervention and compliance management
		Demonstrate a thorough understanding of risk retention plans	 Able to forge positive relationships on cooperative governance level to enhance the effectiveness of local government
		Identify and implement comprehensive risk management systems and processes	 Able to shape, direct and drive the formulation of policies on a macro level
		 Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement 	

LUSTER!	CORE COMPETENCIES		
OMPETENCY NAME:	Moral Competence		
OMPETENCY DEFINITION:	Able to Identify moral triggers, apply reasoning t	hat promotos honesty and integrity and consistently	diamer Colombia di Colombia
	ACHIEVEIW	ENT LEVELS	display behavior that reflects meral compete.
BASIC	COMPETENT	ADVANCED	BUCCOLA
 Realise the Impact of acting with integrity, but requires guidance and development in implementing principles 	Conduct self in alignment with the values of local government and the institution	 Identify, develop and apply measures of self-correction 	SURERIOR • Create an environment conducive of moral practices
Follow basic rules and regulations of the institution	 Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver 	Able to gain trust and respect through aligning actions with commitments	 Actively develop and implement measures to combat fraud and corruption
 Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	 Actively report traudulent activity and corruption with local government 	Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders	 Set integrity standards and shared accountability measures across the institution to support the objectives o local government
	 Understand and honor the confidential nature of matters without seeking personal gain 	 Present values, beliefs and ideas that are congruent with the institution's rules and regulations 	 Take responsibility for own actions as decisions, even if the consequences unfavorable
	 Able to deal with situations of conflict of interest promptly and in the best interest of local government 	 Takes an active stance against coπuption and dishonesty when noted 	
		 Actively promote the value of the Institution to internal and external stakeholders 	
		Able to work in unity with a team and not seek personal gain	
		Apply universal moral principles consistently to achieve moral decisions	

CLUSTER:	CORE COMPETENCIES			
COMPETENCY NAME:	Planning and Organising Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk			
COMPETENCY DEFINITION:				
	ACHIEVEM	ENT LEVELS		
BASIC	COMPETENT	ADVANCED	SUPERIOR	
 Able to follow basic plans and organise tasks around set objectives 	 Actively and appropriately organise information and resources required for a task 	Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities and assign appropriate resources for successful	Focus on broad strategies and initiative when developing plans and actions	
 Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans 	Recognise the urgency and importance of tasks	implementation Identify in advance required stages and actions to complete tasks	 Able to protect and forecast short, medium and long term requirements of the institution and local government 	
 Able to follow existing plans and ensure that objectives are met 	 Balance short and long-term plans and goals and incorporate into the team's performance objectives 	Schedule realistic timelines, objectives and milestones for tasks and projects	 Translate policy into relevant projects to facilitate the achievement of institutional 	
Focus on short-term objectives in developing plans and actions	 Schedule tasks to ensure they are performed within budget and with efficient use of time and resources 	Produce clear, detailed and comprehensive plans to achieve institutional objectives	objectives	
 Arrange information and resources required for a task, but require further structure and organisation 	Measures progress and monitor performance results	Identify possible risk factors and design and implement appropriate contingency plans		
		Adapt plans in light of changing circumstances		
		Prioritise tasks and projects according to their relevant urgency and importance		

LUSTER:	CORE COMPETENCIES		
COMPETENCY NAME:	Analysis and Innovation		
OMPETENCY DEFINITION:	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives		
	ACHIEVEN	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Understand the basic operation of analysis, but lack detail and thoroughness 	Demonstrate logical problem solving techniques and approaches and provide rationale for recommendations	Coaches team members on analytical and Incovative approaches and techniques	Demonstrate complex analytical and problem solving approaches and techniques
 Able to balance independent analysis with requesting assistance from others 	 Demonstrate objectivity, insight and thoroughness when analysing problems 	Engage with appropriate individuals in analysing and resolving complex problems	 Create an environment conducive to analytical and fact-based problem solving
 Recommend new ways to perform tasks within own function 	 Able to break down complex problems into manageable parts and identify solutions 	Identify solutions on various areas in the institution	 Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence
 Propose simple remedial interventions that marginally challenges the status quo 	 Consult internal and external stakeholders on opportunities to improve processes and service delivery 	 Formulate and implement new ideas throughout the institution 	 Create an environment that losters innovative thinking and follows a learning organisation approach
 Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking 	 Clearly communicate the benefits of new opportunities and innovative solutions and stakeholders 	Able to gain approval and buy-in for proposed interventions from relevant stakeholders	 Be a thought leader on innovative customer service delivery and proces optimisation
	Continuously identify opportunities to enhance internal processes	 Identify trends and best practices in process and service delivery and propose institutional application 	 Play an active role in sharing best practice solutions and engage in national and international local government seminars and conference
	Identify and analyse opportunities conductive to innovative approaches and propose remedial Intervention	Continuously engage in research to identify client needs	

ZLUSTER:	CORE COMPETENCIES		
COMPETENCY NAME:	Aniowinago ano information wanagement		
OMPETENCY DEFINITION :			ough various processes and media, in order to
		ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Collect, categorise and track relevant information required for specific tasks and projects 	Use appropriate information systems and technology to manage institutional knowledge and information sharing	Effectively predict future information and knowledge management requirements and systems	Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information.
 Analyse and interpret information to draw conclusions 	 Evaluate data from various sources and use information effectively to influence decisions and provide solutions 	 Develop standards and processes to meet future knowledge management needs 	 Establish partnerships across local government to facilitate knowledge management
 Seek new sources of information to increase the knowledge base 	 Actively create mechanisms and structures for sharing information 	Share and promote best-practice knowledge management across various institutions	Demonstrate a mature approach
 Regularly share information and knowledge with internal stakeholders and team members 	 Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency; 	 Establish accurate measures and monitoring systems for knowledge and information management 	 Recognise and exploit knowledge points in interactions with internal and external stakeholders
		 Create a culture conductive of learning and knowledge sharing 	
		Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches	

CLUSTER:	CORE COMPETENCIES		
OMPETENCY NAME:	Communication		
DMPETENCY DEFINITION :	Abio to share information, knowledge and ideas in persuade and influence stakeholders to achieve ti	n a clear, focused and concise manner appropriate he desired outcome	for the audience in order to offectively convey,
	ACHIEVEME	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
 Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools 	 Express ideas to individuals and groups in formal and informal settings in a manner that is interesting and motivating 	 Effectively communicate high-risk and sensitive matters to relevant stakeholders 	Regarded as a specialist in negotiation and representing the institution
 Express ideas in a clear and focused manner, but does not always take the audience into consideration 	Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs	Develop a well-defined communication strategy	 Able to inspire and motivate others through positive communication that is impactful and relevant
 Disseminate and convey information and knowledge adequately 	 Adapt communication content and style to suit the audience and facilitate optimal information transfer 	 Balance political perspectives with institutional needs when communicating viewpoints on complex issues 	 Creates an environment conducive to transparent and productive communication and critical appreciate conversations
	Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders	Able to effectively direct negotiations around complex	 Able to coordinate negotiations at different levels within local government and externally
	 Compile clear, focused, concise and well-structured written documents 	 Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution 	·
		Able to communicate with the media with high levels of moral competence and discipline	

CLUSTER:	CORE COMPETENCIES							
COMPETENCY NAME:	Results and Quality Focus							
COMPETENCY DEFINITION:	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives							
BASIC	ACHIEVEM COMPETENT	ENT LEVELS ADVANCED	SUPERIOR					
 Understand quality of work but requires guidance in attending to important matters 	 Focus on high-priority actions and does not become distracted by lower-priority activities 	Consistently verify own standards and cutcomes to ensure quality output	Coach and guide others to exceed quality standards and results					
 Show a basic commitment to achieving the correct results 	Display firm commitment and pride in achieving the correct results	Focus on the end result and avoids being distracted	Develop challenging, client-focused goals and sets high standards for personal performance					
Produce the minimum level of results required in the role	 Set quality standards and design processes and tasks around achieving set standards 	Demonstrate a determined and committed approach to achieving results and quality standards	Commit to exceed the results and quality standards, monitor own performance and implement remedia interventions when required.					
Produce outcomes that is of a good standard	 Produce output of high quality 	 Follow task and projects through to completion 	 Work with learn to set ambitious and challenging team goals, communical long- and short term expectations 					
 Focus on the quantity of output but requires development in incorporating the quality of work 	 Able to balance the quantity and quality and quality of results in order to achieve objectives 	 Set challenging goals and objectives to self and team and display commitment to achieving expectations 	 Take appropriate risks to accomplish goals 					
Produce quality work in general circumstances, but fails to meet expectation when under pressure	 Monitors progress, quality of work and use of resources; provide status updates and make adjustments as needed 	Maintain a focus on quality outputs when placed under pressure	 Overcome setbacks and adjust action plans to realise goals 					
		 Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution 	 Focus people on critical activities that yield a high impact 					

Annexure C

2020/21

Personal Development Plan

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Annexure C

2020/21

Skils Performance Gap	Outcomes Expected	Suggested training and for development activity	Suggested mode of delivery	Suggested Timo Frames	Work opportunity created to practice skill/development area	Support Person
1.						
2.			**			
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Signed and accepted by the Employee

Date: 30 June 2020

Signed by the Executive Mayor on behalf of the Municipality

Date: 30

30 June 20

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